



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

: **Confirmation No. 7913**

Keisuke YAEGASHI et al.

: Docket No. 2003-1043A

Serial No. 10/629,776

: Group Art Unit 1756

Filed July 30, 2003

:

A PROCESS FOR PREPARATION
OF OPTICALLY ACTIVE HALOGENO
HYDROXYPROPYL COMPOUND
AND GLYCIDYL COMPOUND

:

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. [] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☒ This Information Disclosure Statement is submitted
prior to the mailing date of a final Office Action or a Notice of Allowance or an action which
otherwise closes prosecution in the application, and thus:
- (1) ☒ the certification of paragraph 2 below is provided, **or**
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. ☐ This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which
otherwise closes prosecution in the application, and prior to payment of the issue fee, and
thus:


**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**
2. It is hereby certified
- a. ☒ that each item of information contained in this Information Disclosure Statement
was first cited in any communication (copy enclosed) from a foreign patent office
in a counterpart foreign application not more than three months prior to the filing
of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was
cited in a communication from a foreign patent office in a counterpart foreign
application and, to the knowledge of the person signing the certification after
making reasonable inquiry, was known to any individual designated in §1.56(c)
more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following list of additional information (including any copending or
abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Keisuke YAEGASHI et al.

By:

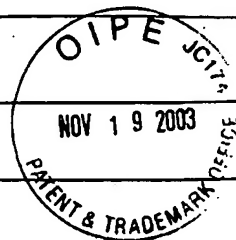

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November 19, 2003

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: November 19, 2003

ATTY DOCKET NO.
2003-1043ASERIAL NO.
10/629,776APPLICANT
Keisuke YAEHASHI et al.FILING DATE
July 30, 2003GROUP
1756

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2003/0088114	5/2003	Larrow et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	00/09463	2/2000	WO				
	AK	1 458 392	12/1976	Great Britain				
	AL							
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	Chemical Abstracts, vol. 114, no. 19, May 13, 1991, Columbus, Ohio, U.S.; abstract no. 185001, TAKANO, SEIICHI ET AL: "Preparation of optically-active 2-hydroxy-3-hydrocarbyloxypropyl halides or sulfonates", XP002257262 & JP 02 286643 A (DAISO CO., LTD., JAPAN), November 26, 1990.
	AP	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.